

Compliance & Ethics at Trium Limited

At **Trium Limited**, we are committed to upholding the highest standards of integrity, transparency, and regulatory compliance in all our business activities. Our compliance framework is designed to promote ethical conduct, mitigate risks, and ensure alignment with applicable laws and industry standards.

Our Compliance Commitment

We operate in a dynamic regulatory environment and recognize that our continued success depends on the trust of our clients, regulators, employees, and partners. Trium Limited is **licensed and regulated by the Securities and Exchange Commission (SEC) Nigeria**, and we maintain strict adherence to all applicable rules and regulations governing capital markets, corporate governance, and ethical business conduct.

Our Compliance Policy provides guidance on how we manage legal and ethical obligations across the following core areas:

- **Regulatory Compliance**

We comply with all applicable laws, rules, and regulations in jurisdictions where we operate, including those governing financial services, data protection, anti-money laundering (AML), and taxation.

- **Anti-Bribery & Corruption**

Trium maintains a strict zero-tolerance stance towards bribery, corruption, and other unethical business practices. We expect all third parties to do the same.

- **Conflict of Interest**

We require prompt disclosure and responsible management of any actual or potential conflicts of interest in business relationships.

- **Data Protection & Confidentiality**

Trium is committed to safeguarding sensitive information and complying with relevant data privacy regulations, including Nigeria's Data Protection Act and applicable international standards.

- **Whistleblower Protection**

We encourage the responsible reporting of unethical or illegal behaviour and provide safe channels to raise such concerns, free from retaliation.

Expectations for Third Parties

Trium's third-party partners—including vendors, consultants, service providers, and contractors—are expected to conduct business in a manner that is consistent with our values and Compliance Policy. In particular, we expect our partners to:

- Act lawfully and ethically

- Prevent bribery and corruption
- Safeguard confidential and proprietary information
- Promptly report suspected misconduct
- Participate in compliance due diligence as required

Engagements with Trium are contingent on adherence to these principles.

Access Our Policy

You may download our full policy and related codes below:

- [Compliance Policy – Third Party Summary \(PDF\)](#)
- [Code of Conduct for Vendors & Partners \(PDF\)](#)

Contact Our Compliance Team

For questions or concerns related to our compliance obligations or to report a potential breach, please contact:

legal@trium.ng